## UTILITY PATENT APPLICATION TRANSMITTAL new nonprovisional applications under 37 CFR 1.53(b)) Attorney Docket No. 223301 Client Reference No. GPC 11-98 First Inventor ANTRIM, Richard L. DEXTRINIZED, SACCHARID DERIVATIZED Title OLIGOSACCHARIDES

| TRANSMITTAL  |  |             | ĺ   |  | RINIZED, SACI<br>'ATIZED             | CHARIDE-             | 44  |  |  |
|--|--|-------------|---|--|--------------------------------------|----------------------|-----|--|--|
| (Only for now name visiting) and in the control of CCC 4.504   |  |             | Title   | 1  | SACCHARIDE                           | -s                   | 14  |  |  |
| (Only for new nonprovisional applications under 37 CFR 1.53(b  |  |             |   | •  |                                      |                      | 10  |  |  |
| APPLICATION ELEMENTS   |  |             | ADDRESS TO:    Express Mail Label No.   EV 329736537 US     Mail Stop Patent Application     Commissioner for Patents     P.O. Box 1450     Alexandria, VA 22313-1450 |  |                                      |                      |     |  |  |
| Utility-Patent Application Transmittal Form  |  |             | ACCOMPANYING APPLICATION PARTS  |  |                                      |                      |     |  |  |
| 2. Applicant clair 37 CFR 1.27.  | Applicant claims small entity status. See 37 CFR 1.27. |             |   | 10. Applicant requests early publication. (include publication fee under 37 CFR 1.18(d)) |                                      |                      |     |  |  |
| 3. Specification (including claims and abstract) [Total Pages 30]  |  |             | 11. Assignment Papers (cover sheet and document(s))   |  |                                      |                      |     |  |  |
| 4. 🔲 Drawings [Total Sheets ]  |  | j   12      | 12. 37 CFR 3.73(b) Statement (when there is an Assignee)  |  |                                      |                      |     |  |  |
| 5. Combined Declaration and  |  |             | 13. Power of Attorney   |  |                                      |                      |     |  |  |
| Power of Attorney [Total Pages ]   |  |             | 14. English Translation Document (if  |  |                                      |                      |     |  |  |
| a. Newly executed b. Copy from prior application [Note Box 6 below]  |  |             | applicable)  15. Information Disclosure Statement (IDS)  Form PTO-1449  |  |                                      |                      |     |  |  |
| i. Deletion of Inventor(s) Signed  |  |             | Copies of Listed Documents  |  |                                      |                      |     |  |  |
| statement attached deleting inventor(s) named in the prior application   |  |             | 16.   Preliminary Amendment   |  |                                      |                      |     |  |  |
| 6. Incorporation by Reference: The entire disclosure of the prior application, from  |  |             | 17. ⊠ Return Receipt Postcard (Should be specifically itemized) 18. □ Claim of Priority & Certified Copy of   |  |                                      |                      |     |  |  |
| which an oath or declaration is supplied under Box 5b is considered as part of the   |  |             |   | Priority D   | ocument(s)                           |                      |     |  |  |
|  | he accompanying  | 19          |   |  | & Certification<br>)(B)(i) (Form PTC |                      | ,   |  |  |
| application and is hereby incorporated by  |  |             |   |  | must be submitted                    |                      | ion |  |  |
| reference.   |  |             | t   |  | publication at 18 m                  |                      |     |  |  |
| 7. Application Data Sheet. See 37 CFR 1.76   |  |             | D. 🔲  |  |                                      |                      | 1   |  |  |
| 8.   CD-ROM or C   |  |             |   |  |                                      |                      |     |  |  |
| table or Computer Program (Appendix)  9. Nucleotide and/or Amino Acid Sequence   |  |             |   |  |                                      |                      |     |  |  |
| Submission a.  Computer Readable Form (CRF)  |  |             |   |  |                                      | 1                    |     |  |  |
| b. Specification Sequence Listing on:  |  |             |   |  |                                      |                      |     |  |  |
| i. CD-ROM or CD-R (2 copies); or   |  |             |   |  |                                      |                      |     |  |  |
| ii. Paper Copy   |  |             |   |  |                                      |                      |     |  |  |
| c. [_] Statemer copies   | nt verifying identity of al                            | oove        |   |  |                                      |                      |     |  |  |
| copies   |  |             |   |  |                                      |                      |     |  |  |
| 21. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information  |  |             |   |  |                                      |                      |     |  |  |
| below:<br>☐ Continuatio  | n Divisional T Co                                      | Intinuation | -in-part  | of prior a   | application no.                      |                      | ł   |  |  |
| ☐ Continuation ☐ Divisional ☐ Continuation-in-part of prior application no.  Prior application information: Examiner ; Group Art Unit: |  |             |   |  |                                      |                      |     |  |  |
| APPLICATION FEES   |  |             |   |  |                                      |                      |     |  |  |
| BASIC FEE  |  |             |   |  |                                      | \$750.00             |     |  |  |
|  |  | NUME        | BER EXT   |  | RATE                                 | \$224.00             |     |  |  |
| Total Claims 33 -20= Independent Claims 4 - 3=   |  |             | <del></del>   | 13   | x \$18.00<br>x \$84.00               | \$234.00<br>\$84.00  |     |  |  |
| Independent Claims  Multiple Depender  | <u> </u>   |             |   |  | +\$280.00                            | \$0.00               |     |  |  |
| Multiple Dependent Claim if applicable +\$280.00  Total of above calculations =  |  |             |   |  |                                      |                      |     |  |  |
|  | \$1,068.00<br>\$(0.00)                                 |             |   |  |                                      |                      |     |  |  |
| Reduction by 50% for filing by small entity =  Assignment fee if applicable + \$40.00  |  |             |   |  |                                      |                      |     |  |  |
| ☐ Early publication for  |  |             |   |  | + \$300.00                           | \$0.00<br>\$1,068.00 |     |  |  |
| TOTAL =  |  |             |   |  |                                      |                      |     |  |  |

## **UTILITY PATENT APPLICATION TRANSMITTAL**

Attorney Docket No. 223301 Client Reference No.GPC 11-98

| 22. 🛛 Please   | 22. Please charge my Deposit Account No. 12-1216 in the amount of \$1,068.00. |         |   |               |  |  |  |
|--|---|---------|---|---------------|--|--|--|
| 23.  | 23. A check in the amount of \$ is enclosed.                                  |         |   |               |  |  |  |
| 24. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 12-1216:  a.   Fees required under 37 CFR 1.16.  b.   Fees required under 37 CFR 1.17.  |   |         |   |               |  |  |  |
| 25. The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 12-1216 for any fee that may be due in connection with such a request for an extension of time. |   |         |   |               |  |  |  |
| 26. CORRESPONDENCE ADDRESS   |   |         |   |               |  |  |  |
| Customer Number: 23460  23460  |   |         | Kenneth P. Spina, Reg. No. 43,927 Leydig, Voit & Mayer, Ltd. Two Prudential Plaza, Suite 4900 180 North Stetson Chicago, Illinois 60601-6780 (312) 616-5600 (telephone) |               |  |  |  |
| PATENT TRADEHARK OFFICE  |   |         | 5700 (facsimile)  |               |  |  |  |
| Name Kenneth P. Spina, Registration No. 43,927   |   |         |   |               |  |  |  |
| Signature Lew Spin   |   |         |   |               |  |  |  |
| Date   | June 23, 2003   |         |   |               |  |  |  |
|  |   |         |   |               |  |  |  |
| Certificate of Mailing Under 37 CFR 1.10   |   |         |   |               |  |  |  |
| I hereby certify that this Utility Patent Application Transmittal and all accompanying documents are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" Service under 37 CFR 1.10 on the date indicated below and is addressed to: Mail Stop Patent Application, Commissioner for Patents P. O. Box 1450, Alexandria, VA 22313-1450.  |   |         |   |               |  |  |  |
| Rick D. 1  | Nadsen  | Kick D. | Madren  | June 23, 2003 |  |  |  |
| Name of Por  | son Signing   | Signa   | ture  | Date          |  |  |  |

Utility Transmittal (Revised 5/1/03)